

Helping Compliance Teams Stay One Step Ahead of Rising Regulatory Risks Around Sanctions

3.00pm

Welcome Address

Introduction & Overview

3.05pm

Presentation
by SIX Financial Information

Oliver Bodmer
Product Management Director
SIX

- What are sanctions, why are they proliferating and what does it all mean for the world of finance and compliance?
- Who are the parties that need this data and these insights, and why? What are the dangers of not elevating compliance efforts around oversight and thereby pre-empting exposures? What unique approach does SIX Financial Information provide around sanctions monitoring and risk mitigation for the financial industry?

3.30pm

Panel Discussion

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- What are sanctions, why are they proliferating and what does it all mean for wealth managers and their clients?
- Where does potential exposure to sanctions rank amongst the challenges facing financial institution/firm compliance teams?
- Are they taking these issues seriously enough? Why or why not?
- What information sources and strategies do compliance teams adopt today, and how can they boost their understanding, coverage and risk mitigation?
- Should compliance teams consider going it alone with dedicated sanctions specialists and their own strategies, or should they be leveraging the best available external data, sources and protocols?
- What constitutes a differentiated approach to these pre-trade, KYC, AML and other related issues around sanctions?
- Like the challenges around cyber-security, the innovation amongst those looking to deceive is constant and frightening. Can those who seek to outwit the system be beaten, or is it simply a question of optimising outcomes amidst ongoing chaos?
- Who are the parties that need this data and these insights, and why?
- What are the dangers of not elevating compliance efforts around oversight and thereby pre-empting exposures?

Moderator

Michael Stanhope
Founder & Chief Executive Officer
Hubbis

Speaker

Andreas Wenger
Partner

Finalix

Singee Koh
Director
Integrity Consulting

Grace Then
Financial Crime Practice Lead (APAC)
Moody's Analytics

Oliver Bodmer
Product Management Director
SIX

Anu Phanse
Singapore Chief Compliance Officer and MLRO
Coinbase

3.55pm

Q&A

Wrapping up by SIX

4.00pm

Webinar Ends